

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995.

See the American Inventors Protection Act of 1999 (AIPA).

Application Number	10/006,856
Filing Date	DECEMBER 6, 2001
First Named Inventor	KEVIN BAKER
Group Art Unit	1636
Examiner Name	VOGEL, NANCY S.
Attorney Docket Number	39780-2830 P1C14

**This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.**

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. s 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 20000), which established RCE practice.

## 1. Submission required under 37 C.F.R. §1.114.

- a. ☐ Previously submitted
  - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116  
(Any unentered amendment(s) referred to above will be entered)
  - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
  - iii. ☐ Other
- b. ☒ Enclosed
  - i. ☒ Preliminary Amendment (32 pages)
  - ii. ☒ Affidavit(s)/Declaration(s) (Second Declaration by Dr. Paul Polakis and Declaration by Dr. Randy Scott Under 37 C.F.R. §1.132)
  - iii. ☒ Information Disclosure Statement (IDS); and PTO Form 1449 with 3 references
  - iv. ☒ Other: Copies of stamped Return Receipt Postcard dated November 13, 2006; and Express Mail Label No. EV 765985918 dated November 13, 2006

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other

## 3. Fees The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees of \$1,860.00, or credit any overpayments to Deposit Account No. 08-1641 (Docket No. 39780-2830 P1C14)
  - i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) (\$810.00)
  - ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) (3 Months - \$1,050.00/Large Entity)
  - iii. ☐ Other
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	PANPAN GAO	Registration No. (Attorney/Agent)	43,626
Signature		Date	OCTOBER 4, 2007

**FILED VIA EFS  
ON OCTOBER 4, 2007**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Mail Stop \_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 2307252\_1.DOC

